# The Report of the Treasurer

The Treasurer herewith presents his report of cash receipts and disbursements for the fiscal year ended August 31, 1969, including a statement of the Society's investments and a schedule of Fund Accounts.

Balance in the Bibliography Fund was \$14,000.

The Society received gifts for current operating purposes of \$26,756.

#### BALANCE SHEET

(Cash Basis) as at August \$1, 1969

#### ASSETS

#### Current Funds

Current Assets: Cash Restricted Funds	<b>\$</b> 6,929 10,411
Total Current Funds	\$17,338
Unrestricted Funds	
Assets Unrestricted as to Principal and Income: Investment:	
Marketable Securities	\$261,489
Funds Restricted as to Principal	
Assets Restricted as to Principal: Investment:	
Marketable Securities	\$1,083,850
Funds Restricted as to Principal and Income	
Assets Restricted as to Principal and Income: Investment:	
Marketable Securities Development Fund	\$623,194 270,115

#### Plant Fund

Building and Equipment	\$571,651	
Less—Accumulated Depreciation	138,129	\$433,522
Total Assets	<u> </u>	\$2,689,508

#### FUND BALANCES

#### Current Funds

Specific Gifts		\$2,889
Revolving Funds:		
Bibliography Fund	\$14,000	
Photoduplication Fund	7,135	
Readex Fund		
Purchasing	(1,701)	
Accumulations.		(16,565)
Total Current Funds		\$6,927

#### Unrestricted Funds

Bequests and Donations	\$187,010 74,479	
		\$261,489

#### Funds Restricted as to Principal

Bequests and Donations	\$640,998	
Accumulations	442,852	
		\$1,083,850

## Funds Restricted as to Principal and Income

Bequests and Donations Unexpended Restricted Income Accumulations	\$413,389 10,411 209,805	
		\$633,605
Development Fund		\$270,115

## Plant Fund

Invested in Plant	\$433,522	
		\$433,522
Total Funds		\$2,689,508

# Report of the Treasurer

# STATEMENT OF CASH RECEIPTS AND EXPENDITURES For the Year ended August 31, 1969

Cash balance, September 1, 1968 Add:				\$ 67,904
Income from:	Interest	Dividends	Total	
Investment funds:	11100/030	Dividendis	10141	
Unrestricted	\$11,546	\$ 18,675	\$ 30,221	
Restricted as to principal	29,575	47,568	77,149	
Restricted as to principal and	20,010	11,000	77,110	
income	17,005	27,346	44,351	
	\$58,126	\$ 93,589	\$151,715	
Payments to C. P. Birkett			(250)	
Russell S. Paine Trust			5,443	
W. K. Bixby Trust			221	
Gifts			26,756	
Sales of publications			14,091	
			\$197,976	
Deduct:				
Operating expenses:				
Salaries and wages		\$105,260		
Employee benefits:		* <b>)</b>		
Payroll taxes		5,237		
Pensions		16,087		
Blue Cross—Blue Shield		1,828		
Travel and entertainment		4,576		
Library supplies and expense		1,570		
Supplies—bindery		4,281		
Office supplies and expense		5,109		
Telephone and telegraph		1,856		
Light, fuel and water		6,184		
Maintenance—building and				
grounds		9,730		
Insurance.		623		
A.D.T. protective services.		997		
Legal and accounting		1,052	_	
Custodian fees		2,048	\$166,438	
Excess of income over				
operating expenses				31,538
Total—				\$ 99,442
Other expenditures:				
Publishing		\$ 10,983		
Purchases of books	\$38,096	Ψ 10,000		
Less—Transfer of principal cash	10,613	27,483		
Development program:				
Consulting fees	\$ 7,463			
Travel	6,971			
Other	36,399			
Total-forwarded	50,833			
	, 00,000			

#### STATEMENT OF CASH RECEIPTS AND EXPENDITURES— For the Year ended August 31, 1969

Con	tinued		
Total—brought forward Less—reimbursement from	\$50,833		
principal cash	7,487	\$43,346	\$81,812
Other receipts and disbursements: Bibliography receipts	<b>\$</b> 1,979		
Less disbursements	3,069	\$ 1,090	
Purchasing fund receipts	\$17,362 17,692	<b>\$</b> 30	
Photoduplication receipts	\$ 5,903 4,413	(1,490)	
Special gifts for purchase of books Less disbursements	\$ 2,023 2,385	362	
Excess of other disbursements			
over receipts			<u>292</u> 82,104 \$ 17,338
Accounted for as follows:			
Worcester County National Bank:			
Operating			\$(1,764)
Specific gifts			2,889 10,411
Agency account for current income			5,802 \$ 17,338

#### BEQUESTS AND/OR DONATIONS

#### As at August 31, 1969

#### Unrestricted Funds

Arthur Adams Trust	\$	1,000
George S. Barton		1,000
Frank G. Bemis		5,000
Grace Colton		1,000
Frank Harrington		6,000
John W. Higgins		10,000
Esther Forbes Hoskins		40,229
Anna Harrington Mosher		8,048
Estate of Albert W. Rice	1	00,000
Stoddard Charitable Trust		1,000
Thomas W. Streeter \$78,000		
Less amount expended		1,337
Elizabeth Weis		1,000
*General		11,396
	\$	87,010
*Bequests and for donations under \$1,000		

\*Bequests and/or donations under \$1,000, less payments applied thereto.

## Restricted as to Principal

1	
Ebenezer Alden	\$1,000
Mark Bortman	1,250
Clarence W. Bowen	10,000
Chandler Bullock	2,500
Charles H. Chase	12,000
George Watson Cole	9,725
Philip H. Cook	5,000
Henry W. Cunningham	79,682
Mary H. Cunningham	50,000
Andrew M. Davis	10,000
John and Eliza Davis	5,000
Eliza Dodge.	3,000
George E. Ellis.	5,000 7,500
Lee M. Friedman	2,500
Mable Knowles Gage	2,000
Thomas H. Gage	20,000
Samuel A. Green.	20,000 5,000
Aldus C. Higgins	25,000
James F. Hunnewell	,
Nathaniel T. Kidder	5,000
Herbert E. Lombard	5,000
Albert Matthews	17,650
Grenville H. Norcross.	1,000
Nathaniel Paine.	10,000
James D. Phillips	37,000
Charles A. Place.	2,600
Stephen Salisbury, Jr.	931
Foster Stearns.	104,500
George R. Stobbs.	1,000
Joseph A Tenney	10,000
Joseph A. Tenney Henry B. Wagner	5,000
Henry R. Wagner Charles F. Washburn	30,000
Albert H. Whitin	20,000
James I. Whitney	11,078
James L. Whitney	11,082
James B. Wilbur	100,000
	\$640,998

## Restricted as to Principal and Income

	Current	
	Income	<b>Principal</b>
Book binding		\$7,500
George Chandler		5,500
Issac and Edward Davis		16,000
Henry F. Depuy		8,889
Francis H. Dewey		8,500
Samuel F. Haven		2,500

	Current	
	Income	Principal
Levi Lincoln		\$9,500
Publishing		32,000
Bernard C. Steiner		1,000
Isaiah Thomas		2,000
	\$10,019	\$93,389
Funds designated for the purchase of books:		
Isaiah Thomas—collection and research fund	\$537	\$5,000
Issac Davis book fund:		. /
General	53	500
Purchase of books, maps and		
charts of South America	160	1,500
John and Eliza Davis fund—general	537	5,000
Benjamin F. Thomas—purchase of		-
local histories	106	1,000
Samuel F. Haven—purchase of books		
for the Haven Alcove	107	1,000
Francis H. Dewey-biography and writings of		
distinguished lawyers and judges	213	2,000
George Watson Cole	4,719	44,000
Henry F. Depuy-books in the field of Americana	18,242	170,000
Donald M. Frost-books in the field of		
Western Americana	1,073	10,000
Harriette Merrifield Forbes fund-		
purchase of New England books	2,146	20,000
George E. Ellis—general	1,073	10,000
*John Thomas Lee fund	5,366	50,000
Total	\$34,332	\$320,000
Total Principal Funds Restricted for		
the Purchase of Books	\$44,351	\$413,389
*Includes payments of \$250 to C. P. Birkett		

## STATEMENT BY THE TREASURER

The book value of the invested funds of the Society on August 29, 1969 was \$2,238,886 and these investments had a market value of \$3,733,000 of which \$2,593,204 (69%) was in common stocks. This market value is \$8,000 lower than a year ago.

Income from investments was \$151,715, an increase of \$14,761 over the year before, representing a return of 4.1% on the market value of the securities (6.7% on the cost). This income was \$7,000 higher than the estimates made for budgetary purposes a year ago.

During the year \$261,000 was received from bequests and donations for addition to the capital funds of the Society and it was the investment of these funds which was largely responsible for the welcome increase in investment income.

In August the principal beneficiary of the Paine Charitable Trust passed away and as a result the Society will now receive increased income of approximately \$20,000 per year, beginning in December 1969—a most timely addition.

In May of this year, on the recommendation of your Finance Committee, the Council authorized the employment of FMR Investment Management Service, Inc. (of the Fidelity Management Group) as investment advisers. The Finance Committee is satisfied with the results to date considering the demoralized state of the bond market and weak stock market during the summer and fall.

A. GEORGE BULLOCK, Treasurer

## Classification of Investments

CLASSIFICATION	MARKET VALUE	PERCENT OF EQUITY
Cash	212,479.68	5.79
Bonds	821,721.50	22.41
Income	71,886.00	1.96
Equity	2,561,309.89	69.84
Total	3 <b>,667,</b> 397.07 <b>*</b>	100.00
Current Book Value	2,152,	966.97

#### Common Stock Analysis

Apparel & Textiles	20,570.00	.80
Auto & Parts	94,250.00	3.68
Banks	406,206.50	15.86
Business Equipment	148,812.50	5.81
Communications	67,125.00	2.62

CLASSIFICATION	MARKET VALUE	PERCENT OF EQUITY
Drugs & Hospital	51,300.00	2.00
Energy	474,180.76	18.51
Electronics & Electrical	165,992.50	6.48
Finance & Investments	28,704.00	1.12
Food Products	70,475.00	2.75
Insurance	262,864.38	10.26
Machinery	13,957.75	.54
Metals	60,500.00	2.36
Miscellaneous	180,209.00	7.04
Publishing	115,000.00	4.49
Retail Trade	236,945.50	9.25
Transportation & Equip	39,192.50	1.53
Utilities	125,022.50	4.88
Total	2,561,307.89*	100.00

#### Bonds

	CORPORATE BONDS		
UNITS	DESCRIPTION	BOOK VALUE	MARKET VALUE
7,000	Alabama Power Co 4½% 1st Mtge. 5/01/83	7,237.51	4,655.00
25,000	Alabama Power Co. 5/01/87   45/8% 1st Mtge. 5/01/87	25,375.00	15,750.00
11,000	American Tel. & Tel. Co 43%% Reg. 10/01/96	12,130.68	8,220.00
100,000	Beneficial Finance Corp. 2/01/71	100,023.26	101,500.00
25,000	Boeing Airplane Co   5% 8/01/78	24,275.84	20,000.00
15,000	Chesapeake & Potomac Tel 6 <sup>3</sup> / <sub>4</sub> % Reg. 5/01/2008	14,850.00	13,950.00
35,000	Consolidated Edison Co 4¼% Ser. 7 Reg. 10/01/86	33,557.75	23,843.75
10,000	Dallas Power & Light Co 41/4% 12/01/86	10,084.80	7,325.00
19,000	Deere & Company	18,060.91	14,820.00
25,000	Federal Natl. Mtge. Assoc   5.25% Reg. 4/01/81	25,171.89	20,843.75
10,000	Florida Power & Light	9,900.00	7,100.00
10,000	Florida Power & Light 4½%% 4/01/88	10,129.50	6,887.50
50,000	Florida Power & Light Co 7% Reg. 6/01/98	51,086.12	47,000.00
10,000	Gulf States Utilities Co	10,086.70	7,550.00
10,000	Household Finance Corp.   4½% Reg. 9/15/93	10,000.00	6,900.00
10,000	Idaho Power Co	10,000.00	7,337.50

UNITS	DESCRIPTION	BOOK VALUE	MARKET VALUE
10,000	Illinois Bell Tel. Co	10,100.00	6,962.50
,	4 <sup>3</sup> / <sub>8</sub> % Reg. 3/01/94	10,100,000	0,002.00
25,000	Intnl. Bk. for Recon. & Dev	28,593.75	17,500.00
	4½% Reg. 2/01/90		-
12,200	Intl. Harvester Company	10,433.90	8,662.00
<b>Z</b> 000	45%% Debs. 3/01/88		
5,200	Intl. Harvester Co	4,445.05	3,815.50
10,000	4.80% Reg. 3/01/91 Interstate Power Co	10 000 00	
10,000	5% 5/01/87	10,000.00	7,675.00
15,000	Interstate Power Co	15,000.00	11,868.75
,	51/8% 5/01/89	10,000.00	11,000.70
10,000	Jersey Central Pwr. & Lt.	10,156.30	7,675.00
	5% 7/01/87		
10,000	New Orleans Public Serv	10,301.80	7,500.00
	41/8% 4/01/83		
15,000	New York State Ele. & Gas	15,232.50	11,193.75
00.000	45/8% 5/01/87 New York Telephone Co		
20,000	$4\frac{1}{2}$ 5/15/91	20,351.00	14,600.00
10,000	Norfolk & Western Ry	10,073.40	8,023.00
10,000	$5\frac{1}{8}\%$ Eq. Tr. $4/01/80$	10,070.10	0,025.00
10,000	Norfolk & Western	10,077.00	7,909.00
	5½% Eq. Tr. 4/01/81	·· <b>,</b> ·····	.,
50,000	Northern Natural Gas	50,823.52	49,000.00
	71/4% 5/01/88		
10,000	Northern States Power	9,800.00	7,000.00
<u> </u>	4 <sup>5</sup> /8% 6/01/87		
20,000	Pacific Tel. & Tel. Co	20,509.40	12,375.00
15,000	Potomac Edison	15,257.25	10,950.00
10,000	4 <sup>5</sup> / <sub>8</sub> % 5/01/87	10,207.20	10,990.00
10,000	Potomac Elec. Pwr. Co	10,037.50	6,550.00
·	3 <sup>7</sup> / <sub>8</sub> % 6/01/88	,	-,
10,000	Potomac Elec. Pwr. Co	10,130.70	6,962.50
	45%% 12/01/93		
15,000	Public Ser. Elec. & Gas	15,306.90	10,950.00
at 000	45%% 8/01/88	00 500 55	
25,000	Republic Steel Corp. 9/01/85   43/8% Sf. Deb. 9/01/85	23,593.75	18,187. <i>5</i> 0
8,000	Southern Bell Tel. Co.	8,460.00	5,960.00
0,000	5% 12/01/97	0,100.00	3,300.00
25,000	Southern Calif. Edison Co	23,343.75	16,812.50
	$4\frac{1}{4}\%$ 11/01/87		
10,000	State Loan & Finance Co	10,037.50	7,100.00
	5.40% 6/15/81		
25,000	Sun Oil Company	22,250.00	17,781.25
25,000	45% Debs. Reg. 11/15/90	05 050 40	10 407 50
20,000	Tennessee Gas Trans. Co   5¼% 9/01/85	25,052.48	19,437.50
25,000	Wisconsin Electric Power	22,812.50	17;218.75
,	4 <sup>1</sup> / <sub>8</sub> % 4/01/88	22,012.00	11,210.10
		789,149.31*	633,352.00*
			•

UNITS	DESCRIPTION	BOOK VALUE	MARKET VALUE
	OTHER BONDS		
15,000	Comm. of Australia	14,700.00	11,758.50
10,000	British Columbia Power 43/8%   Series L 4/15/87	9,800.00	6,900.00
50,000	Canada	49,875.00	44,160.00
100,000	Quebec Hydro Elec. Comm 83/4% 7/15/99	100,000.00	102,000.00
5,000	Toronto Ontario Metropol. Municipality	<b>4,</b> 772.00	3,251.00
	4½% 8/15/86	179,147.00*	168,069.50*
	CONVERTIBLE BONDS		
20,000	Santa Fe Industries Inc	20,228.31	20,300.00
		988,524.62**	821,721.50**
	Preferred Stocks		
576	American Express	9,050.00	
780	C N A Financial Corp 1.10 Cum. Conv. Pfd.	326.65	
260	The Travelers Corp \$2 Cv. Pfd. 1/1 50 9/71	4,253.98	
		13,630.63**	
	Common Stocks		
	APPAREL & TEXTILES		
484	J. P. Stevens & Co., Inc	9,422.87	
	AUTO & PARTS		
1,300	General Motors Corp	47,021.62	
	BANKS		
119	Chase Manhattan Bank	2,535.64	
803	Conill Corp	9,531.79	
552	First Natl. Bk. of Boston	10,242.55	
1,320	First Nat. City Corp	24,407.38	
<i>55</i> 8	First Pennsylvania Corp	8,840.64	
510	Hartford Natl. Bk. & Tr. Co	7,225.88	
491	Manufacturers Hanover Co	9,533.48	
580	J. P. Morgan Co., Inc.	11,119.11	
1,598	State St. Bank & Trust	21,780.87	
1,572	Worcester Bancorp	11,145.41	
	BUSINESS EQUIPMENT		
500	Addressograph-Multigraph	36,606.40	
200	Intl. Business Mach. Corp	66,161.22	
1,000	Sperry Rand Corporation	52,879.70	

UNITS	DESCRIPTION	BOOK VALUE	MARKET VALUE
	COMMUNICATIONS		
1,500	Columbia Broadcasting	7,088.39	
	DRUGS & HOSPITAL		
1,850	Sterling Drug, Inc.	4,944.31	
		-,	
	ENERGY		
500	J. Ray McDermott Co	42,712.48	
1,500	Royal Dutch Petroleum.	46,736.67	
1,461	Standard Oil Co. of Calif	14,013.55	
1,705	Standard Oil Co. of N.J.	19,043.21	
4,488	Texaco Inc	14,973.84	
	ELECTRONICS & ELECTRICAL		
1,502	General Electric Co	9,529.03	
600	Singer Company	51,610.33	
_	FINANCE & INVESTMENTS		
1,248	C N A Financial Corp	335.48	
	FOOD PRODUCTS		
700	General Foods Corp	10,501.08	
400	Kraftco Corp	4,655.98	
	INSURANCE		
1,665	Aetna Life Insurance Co.	4,013.14	
1,000	American Reinsurance Co	53,342.91	
1,000	Conn. General Ins. Corp	36,206.30	
2,260	The Travelers Corp	6,780.90	
	MACHINERY		
100	Cummin Co	1,650.00	
307	Norton Co	9,977.50	
	METALS		
2,000		79 090 90	
2,000	Bethlehem Steel Corp	73,032.32	
	MISCELLANEOUS		
2,348	Eastman Kodak Co	43,963.67	
	PUBLISHING		
2,500	Dun & Bradstreet Inc	7,011.71	
	RETAIL TRADE		
1,600	Federated Depart. Stores	10,152.38	
1,000	J. C. Penney Co.	11,096.53	
1,836	Sears Roebuck & Co	7,866.57	
-		· · ·	
	TRANSPORTATION & EQUIP.		
484	ACF Industries Inc.	4,400.00	
<b>35</b> 0	Union Pacific Corp	4,460.00	

UNITS	DESCRIPTION	BOOK VALUE	MARKET VALUE
	UTILITIES		
500	Central Hudson Gas & Elec	8,042.05	
1,000	Houston Lighting & Power	42,500.00	
416	Philadelphia Elec. Co.	3,628.46	
400	Public Serv. Co. of Ind.	6,106.69	
900	Texas Utilities Co	49,500.00	
		938,330.04**	
	MISCELLANEOUS		
1	Deeds to Real Estate	1.00	
1	Interest in Income from	1.00	
		2.00**	
	Principal Cash	212,479.68**	
	<b>r</b>	2,152,966.97**	
		_,,	
	GOVERNMENT BONDS		
20,000	U.S. Treasury Bills	19,877.78	19,877.78
		19,877.78**	19,877.78**
	PREFERRED STOCKS		
94	Avco Corporation	3,957.78	
	φ5.20 CV. Flu. 2/1 100 /2	3,957.78**	÷
		0,007.10	
	COMMON STOCKS		
1,129	Avco Corporation	53,821.93	
		53,821.93**	ł
	SAVINGS BANKS		
1	Worcester County National Bank Worc. Savings	3,139.30	
1	Worcester Five Cents	5,000.00	
	Savings Notice Account	···· -	
	-	8,139.30**	
	Principal Cash	122.22	
	-	85,919.01**	
		-	

## Classification of Investments

CLASSIFICATION	MARKET VALUE	PERCENT OF EQUITY
Cash	122.22	.18
Savings	8,139.30	12.41
Bonds	19,877.78	30.29
Income	5,581.25	8.51
Equity	31,894.25	48.61
Total	65,614.80*	100.00
Current Book Value	85,919.01	

#### Touche Ross & Co. 340 Main Street Worcester, Massachusetts 01608

#### Mr. A. George Bullock, *Treasurer* American Antiquarian Society Worcester, Massachusetts

We have examined the accompanying balance sheet resulting from cash transactions of American Antiquarian Society, as of August 31, 1969, and the related statements of operations—other current funds and changes in fund balances for the year then ended. Our examination was made in accordance with generally accepted auditing standards and accordingly included such tests of the accounting records and such other auditing procedures as we considered necessary in the circumstances.

It is not practicable in non-profit organizations to establish accounting control over all cash received prior to recording it, accordingly our examination of cash receipts was limited to gains on sale of securities, interest and dividend income and other recorded transactions. Furthermore we did not obtain confirmation of the uncollected subscriptions from contributors (Note A).

In our opinion, the financial statements referred to above present fairly the assets and fund balances of American Antiquarian Society at August 31, 1969, resulting from recorded cash transactions and the recorded income collected and expenses disbursed during the year then ended, on a basis consistent with that of the preceding year.

> TOUCHE ROSS & CO. Certified Public Accountants

September 18, 1969

#### DONORS OF BOOKS AND FUNDS

Harold J. Abrahams Frederick B. Adams, Jr. Thomas R. Adams Adirondack Museum Agricultural History Center, University of California, Davis John Alden Alfred Owen Aldridge Alice E. Allen American Academy of Arts and Sciences American Dental Association American Geographical Society American Heritage, Inc. American Historical Company Karl J. R. Arndt Bancroft Library, University of California Elisabeth Ball Barre Publishing Company C. Waller Barrett James Phinney Baxter Philip C. Beals James F. Beard, Jr. Beargrass Christian Church, Louisville, Ky. Philip Carl Becker Frederick W. Beinecke Josiah Q. Bennett Francis L. Berkeley, Jr. James Biddle George A. Billias Mrs. Louis Curtis Blair Mrs. George H. Blakeslee Mrs. J. Blanchette Jacob Blanck Theodore Bolton Boston Marine Society Bowdoin College Fredson T. Bowers R. R. Bowker Company

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